



PATENT APPLICATION

Docket No. 2705-137

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): Peter M. GITS and Dale J. SEAVEY  
Serial No. 09/698,779 Examiner: Kevin T. BATES  
Filed: October 27, 2000 Group Art Unit: 2155  
Title: SMART SECRETARY


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Enclosed for filing in the above-referenced application are the following:

- ☒ Transmittal Letter (in duplicate)
- ☐ Copy of Notice to File Missing Parts
- ☐ Filing Fee
- ☐ Change of Address
- ☐ Applicant claims small entity status (see 37 CFR 1.27)
- ☐ [Publication and] Issue Fee
- ☐ Formal Drawings sheet(s)
- ☐ Assignment and Cover
- ☒ Information Disclosure Statement
  - ☒ PTO Form 1449 ☐ References cited
- ☐ Combined Declaration and Power of Attorney
- ☐ Declaration
- ☐ Power of Attorney
- ☐ Surcharge for Late Filing of Fee or Oath or Declaration
  - ☐ \$65 - Small Entity ☐ \$130 - Large Entity
- ☐ In connection with issuance of a patent:
  - ☐ Supplemental Declaration ☐ PTO Form 85B
- ☒ PTO Form 2038 authorizing credit card payment for the above-listed fees
- ☐ A check in the amount of \$ to cover the above-listed fees.
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.

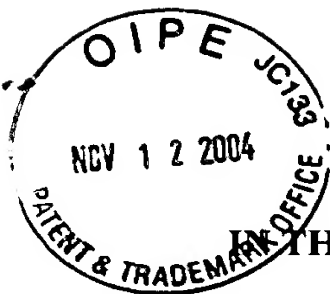
Respectfully Submitted,  
MARGER, JOHNSON & McCOLLOM

  
Ariel S. Rogson  
Reg. No. 43,054

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313. Date: November 9, 2004

  
Jeanne Bower



ATTORNEY DOCKET NO. 2705-137

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P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application;  
or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97 (c) together with either a:

☐ Statement under 37 CFR 1.97 (e), or

☒ a \$180 fee under 37 CFR 1.17 (p); or

(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)

☐ under 37 CFR 1.97 (d) together with:

☐ Statement under 37 CFR 1.97 (e), and

☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

☒ Applicant(s) submit herewith Form PTO 1449 Information Disclosure Citation together with  
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s)  
believe(s) may be material to the examination of this application and for which there may be a duty to disclose in  
accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A  
duplicate copy of this sheet is enclosed.

Respectfully Submitted,  
MARGER, JOHNSON & McCOLLOM

Ariel S. Rogson  
Reg. No. 43,054

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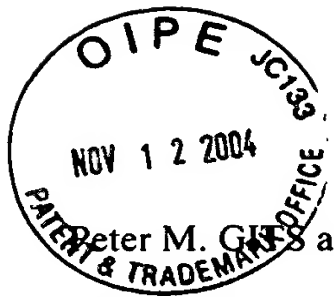
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Jeanne Bower

Serial No.: 09/698,779

- 1 -

Docket No.: 2705-137

**PATENT APPLICATION**

Inventor(s): Peter M. GILLES and Dale J. SEAVEY

Serial No. 09/698,779

Examiner: Kevin T. BATES

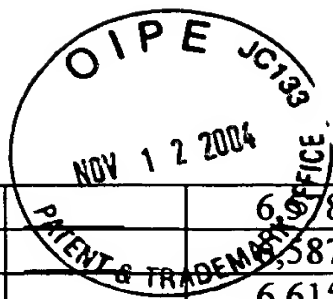
Filed: October 27, 2000

Group Art Unit: 2155

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**INFORMATION DISCLOSURE STATEMENT****PTO-1449****U.S. PATENT DOCUMENTS**

| <b><u>Exam<br/>Init</u></b> | <b><u>Ref</u></b> | <b><u>Document<br/>Number</u></b> | <b><u>Issue Date</u></b> | <b><u>Name</u></b> | <b><u>Class</u></b> | <b><u>Sub<br/>Class</u></b> |
|-----------------------------|-------------------|-----------------------------------|--------------------------|--------------------|---------------------|-----------------------------|
|                             |                   | 4,585,906                         | 4/29/86                  | Matthews et al.    | 179                 | 18B                         |
|                             |                   | 4,972,367                         | 11/20/90                 | Burke              | 364                 | 900                         |
|                             |                   | 5,049,873                         | 9/17/91                  | Robins et al.      | 340                 | 825.060                     |
|                             |                   | 5,299,207                         | 3/29/94                  | Fujii              | 371                 | 29.100                      |
|                             |                   | 5,327,486                         | 7/05/94                  | Wolff et al.       | 379                 | 96                          |
|                             |                   | 5,432,839                         | 7/11/95                  | DeLuca             | 379                 | 57                          |
|                             |                   | 5,493,692                         | 2/20/96                  | Theimer et al.     | 455                 | 26.1                        |
|                             |                   | 5,524,137                         |                          | Rhee               |                     |                             |
|                             |                   | 5,638,514                         | 6/10/97                  | Yoshida et al.     | 395                 | 200.110                     |
|                             |                   | 5,742,905                         | 4/21/98                  | Pepe et al.        | 455                 | 461                         |
|                             |                   | 5,787,262                         | 7/28/98                  | Shakib et al.      | 395                 | 200.35                      |
|                             |                   | 5,799,306                         | 8/25/98                  | Sun et al.         | 707                 | 10                          |
|                             |                   | 5,867,495                         | 2/02/99                  | Elliott et al.     | 370                 | 352                         |
|                             |                   | 5,884,324                         | 3/16/99                  | Cheng et al.       | 707                 | 201                         |
|                             |                   | 5,905,789                         | 5/18/99                  | Will               | 379                 | 211                         |
|                             |                   | 5,928,325                         | 7/27/99                  | Shaughnessy et al. | 709                 | 206                         |
|                             |                   | 6,009,103                         | 12/28/99                 | Woundy             | 370                 | 401                         |
|                             |                   | 6,029,175                         | 2/22/00                  | Chow et al.        | 707                 | 104                         |
|                             |                   | 6,061,470                         | 5/09/00                  | Ferguson et al.    | 709                 | 246                         |
|                             |                   | 6,091,811                         | 7/18/00                  | Chang et al.       | 379                 | 265                         |
|                             |                   | 6,091,948                         | 7/18/00                  | Carr et al.        | 455                 | 414                         |
|                             |                   | 6,092,102                         | 7/18/00                  | Wagner             | 709                 | 206                         |
|                             |                   | 6,137,876                         | 10/24/00                 | Wong et al.        | 379                 | 246                         |
|                             |                   | 6,170,015                         | 1/02/01                  | Lavian             | 709                 | 232                         |
|                             |                   | 6,226,666                         | 5/01/01                  | Chang              | 709                 | 202                         |
|                             |                   | 6,249,570                         | 6/19/01                  | Glowny et al.      | 379                 | 88.220                      |
|                             |                   | 6,253,256                         | 6/26/01                  | Wollrath et al.    | 709                 | 330                         |
|                             |                   | 6,335,927                         | 1/01/02                  | Elliott et al      | 370                 | 352                         |
|                             |                   | 6,353,661                         | 3/05/02                  | Bailey, III        | 379                 | 93.25                       |
|                             |                   | 6,412,017                         | 6/25/02                  | Straube et al.     | 709                 | 313                         |
|                             |                   | 6,421,686                         |                          | Martin Jr.         | 707                 | 201                         |
|                             |                   | 6,434,594                         | 8/13/02                  | Wesemann           | 709                 | 201                         |
|                             |                   | 6,442,565                         | 8/27/02                  | Tyra et al.        | 707                 | 103                         |
|                             |                   | 6,457,065                         | 9/24/02                  | Rich et al.        | 709                 | 328                         |
|                             |                   | 6,463,446                         | 10/08/02                 | Wollrath et al.    | 707                 | 203                         |
|                             |                   | 6,493,671                         |                          | Ladd               |                     |                             |
|                             |                   | 6,564,216                         | 5/13/03                  | Waters             | 707                 | 100                         |



|  |  |           |          |                  |     |     |
|--|--|-----------|----------|------------------|-----|-----|
|  |  | 6,978,074 | 6/10/03  | Bahlmann         | 709 | 203 |
|  |  | 6,587,455 | 7/01/03  | Ray et al.       | 370 | 352 |
|  |  | 6,615,223 | 9/02/03  | Shih et al.      | 707 | 201 |
|  |  | 6,643,650 | 11/04/03 | Slaughter et al. | 707 | 10  |
|  |  | 6,697,806 | 2/24/04  | Cook             | 707 | 9   |
|  |  | 6,714,979 | 3/30/04  | Brandt et al.    | 709 | 225 |
|  |  | 6,724,896 | 4/20/04  | Beckett et al.   | 380 | 49  |
|  |  | 6,751,657 | 6/15/04  | Zothner          | 709 | 220 |

#### **U.S. PATENT APPLICATIONS**

| <b><u>Exam<br/>Init</u></b> | <b><u>Ref.</u></b> | <b><u>Application Serial Number</u></b> | <b><u>Filing Date</u></b> | <b><u>Inventor</u></b> |
|-----------------------------|--------------------|---|---------------------------|------------------------|
|                             |                    |   |                           |                        |

#### **FOREIGN PATENT DOCUMENTS**

| <b><u>Exam<br/>Init</u></b> | <b><u>Ref</u></b> | <b><u>Document<br/>Number</u></b> | <b><u>Publication<br/>Date</u></b> | <b><u>Country</u></b> | <b><u>Name</u></b> |
|-----------------------------|-------------------|-----------------------------------|------------------------------------|-----------------------|--------------------|
|                             |                   |                                   |                                    |                       |                    |

#### **OTHER DOCUMENTS**

| <b><u>Exam<br/>Init</u></b> | <b><u>Ref</u></b> | <b><u>Author, Title, Date, Pertinent Pages, Etc.)</u></b>   |
|-----------------------------|-------------------|---|
|                             |                   | Arnold, "The Jini Architecture: Dynamic Services in a Flexible Network," 1999, 6 pgs.   |
|                             |                   | Aschermann et al., "A Framework for the Integration of Legacy Devices into a Jini Management Federation," <i>Springer-Verlag</i> , 10/99, 12 pgs.                     |
|                             |                   | Benedicto, "Regions: A Scalable Infrastructure for Scoped Service Location in Ubiquitous Computing," <i>Massachusetts Institute of Technology</i> , 5/99, pgs. 2-109. |

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_